

# Smoothing

## 平滑化

# Smoothing (平滑化)

## Take some average for sample points

- Moving average (移動平均)
  - Simple moving average (単純移動平均):  
Average of sequential data with the uniform weight
  - Weighted moving average (加重移動平均):  
Average of sequential data with weight  
Weight: Linear, Triangular, Exponential, Gauss, etc...

## Approximate sample points by some function

- Polynomial smoothing (多項式による平滑化)
- Smoothing spline (スプライン平滑化)
- Least-squares method (最小二乗法)

## Other

- Fourier transformation (フーリエ変換)

# Calculation

## Simple moving average (2m+1 points)

$$y_{i,smoothed} = \frac{1}{2m+1} \sum_{j=i-m}^{i+m} y_j$$

## Weighted moving average (2m+1 points)

$$y_{i,smoothed} = \sum_{j=i-m}^{i+m} w_j y_j / \sum_{j=i-m}^{i+m} w_j$$

# Smoothing (平滑化)

- **Moving average** (移動平均法)

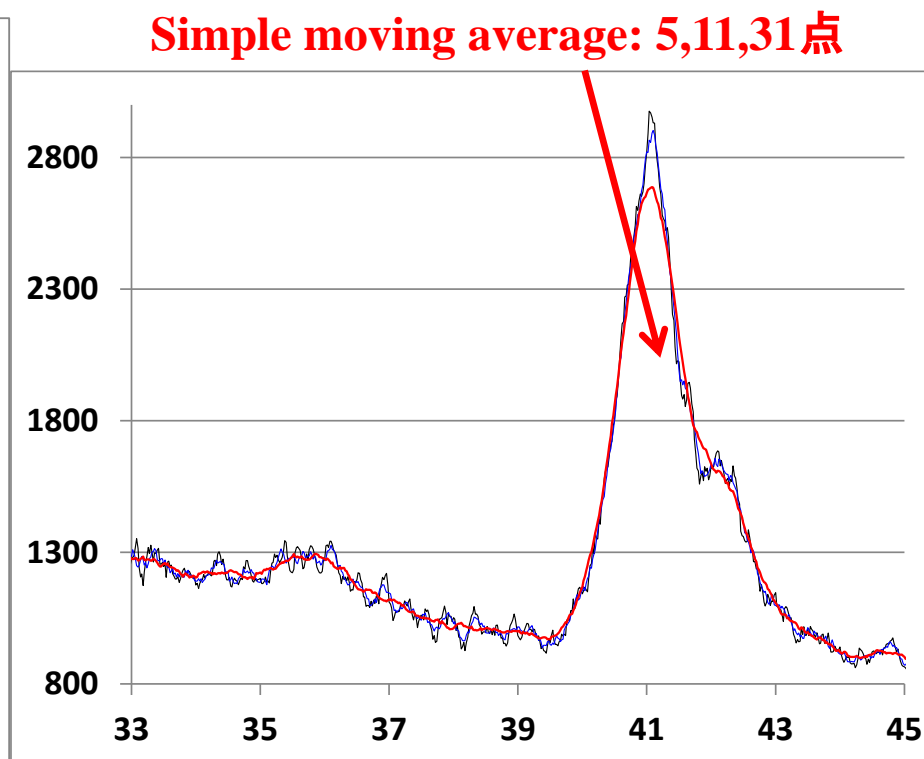
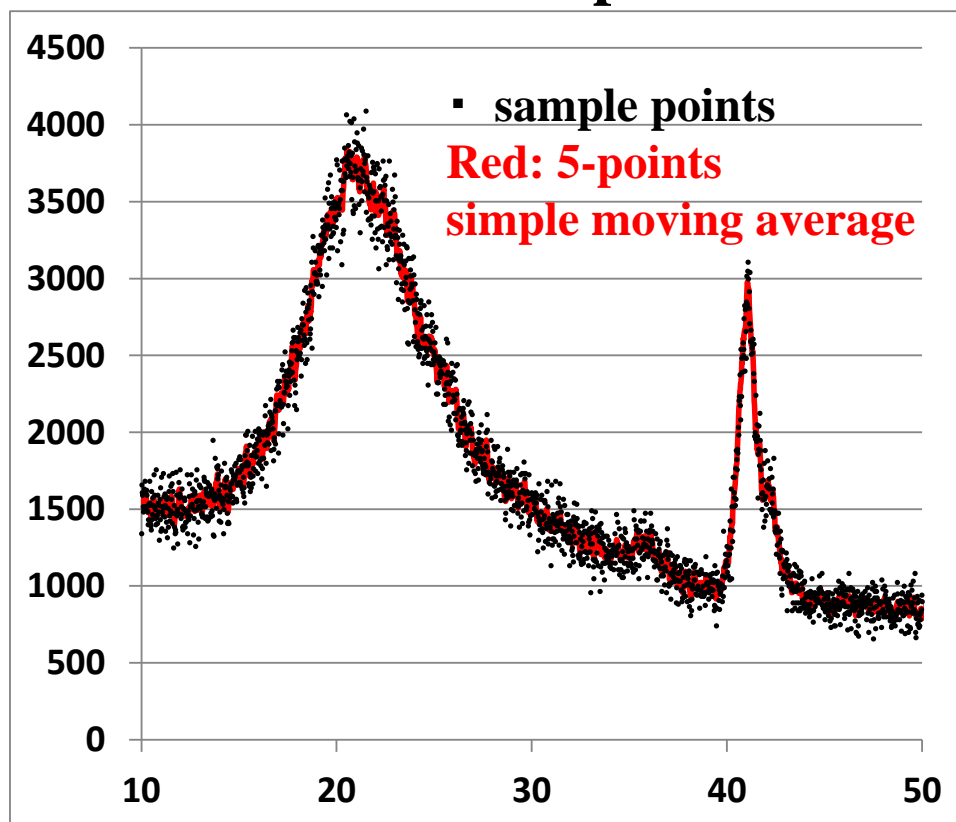
Smoother with more sample points for average,  
but would alter the function shape if the function is not monotonic.

=> Affect peak height, valley depth, peak width etc...

The range of averaged sample points larger than the peak width

=> split peaks might become difficult to be separated.

## Poor S/N ratio XRD pattern

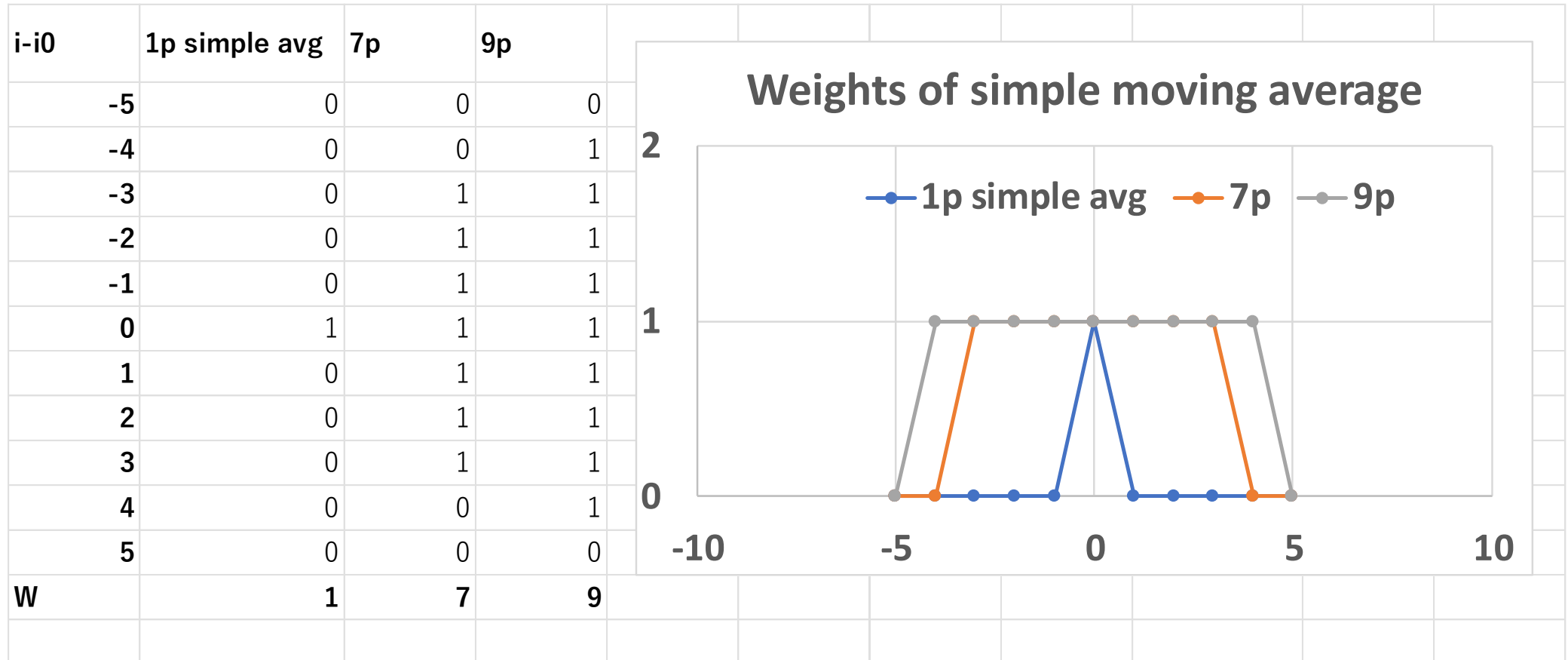


# Smoothing

## Simple moving average (2m+1 points)

$$y_{i,smoothed} = \frac{1}{2m+1} \sum_{j=i-m}^{i+m} y_j$$

## Weight

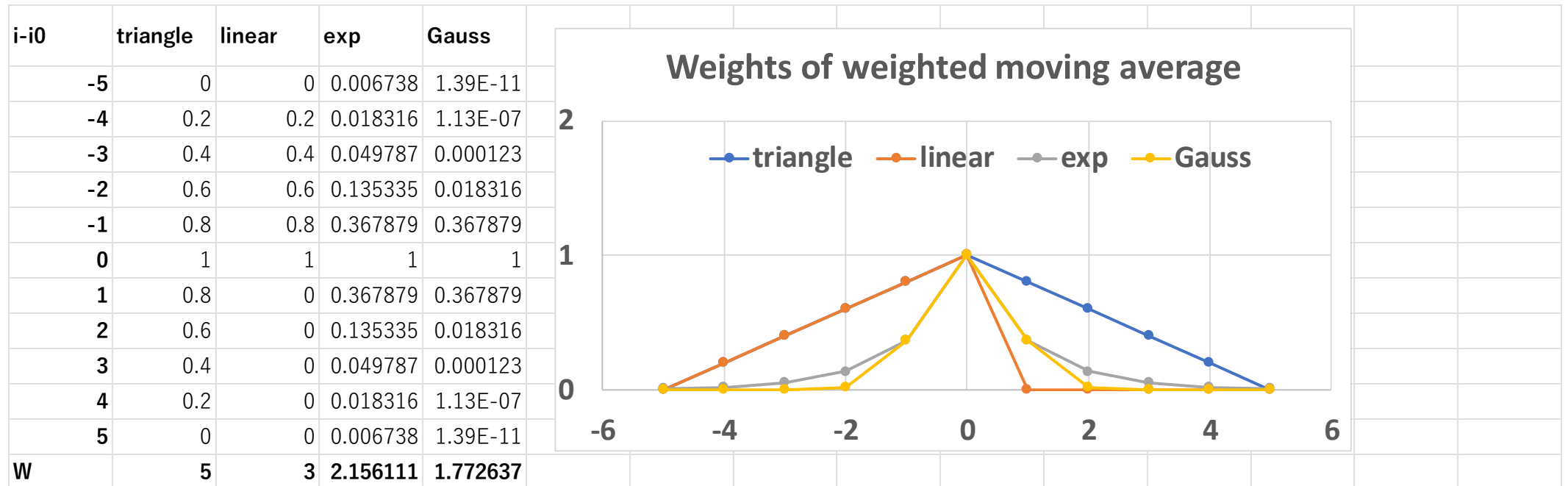


# Smoothing

## Weighted moving average (2m+1 points)

$$y_{i,smoothed} = \sum_{j=i-m}^{i+m} w_j y_j / \sum_{j=i-m}^{i+m} w_j$$

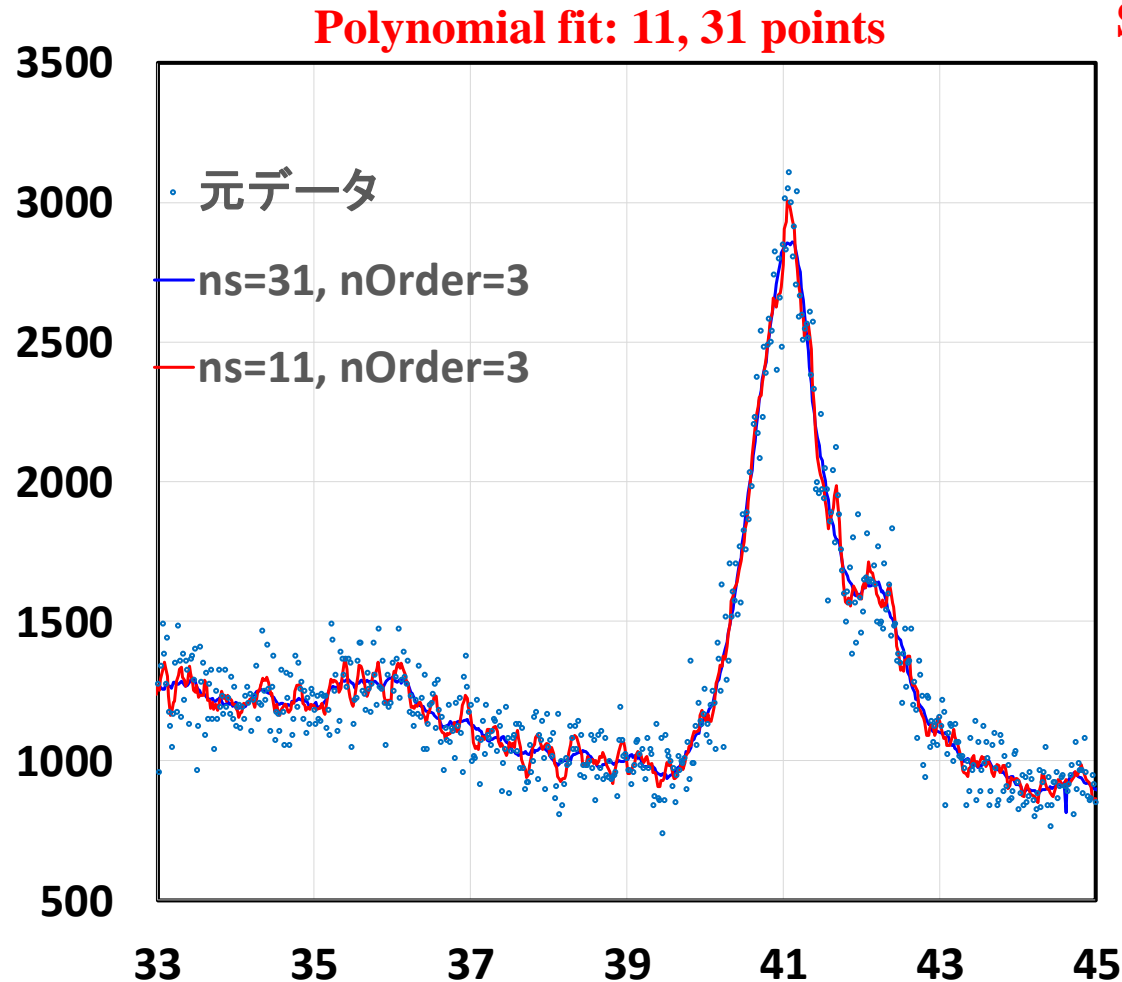
$w_i$



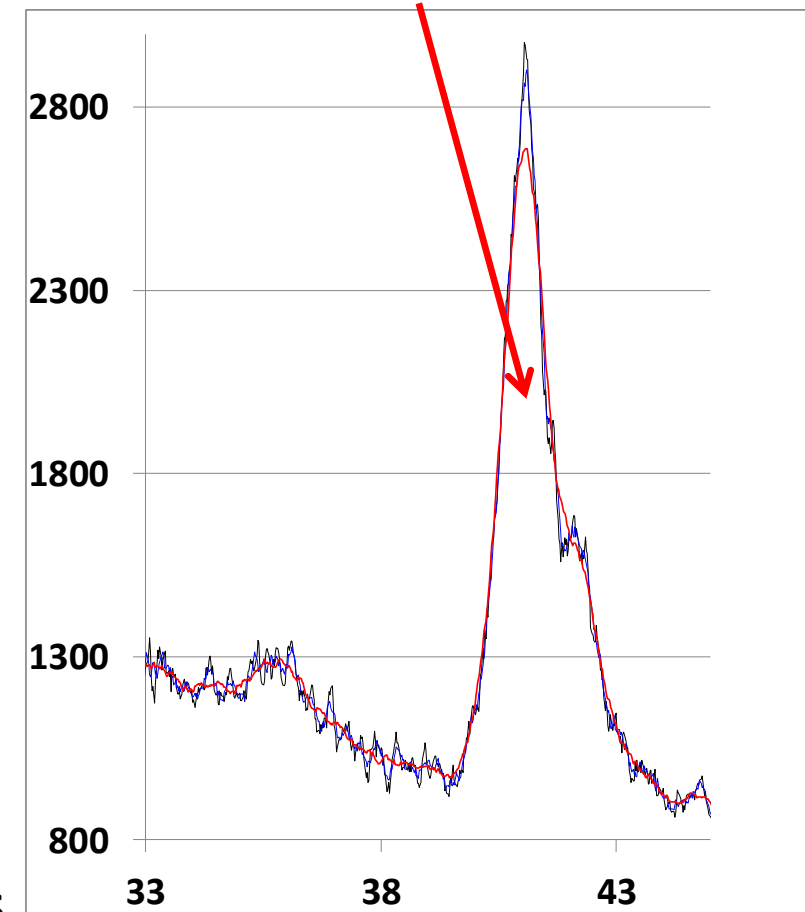
# Smoothing: Polynomial fit method (多項式適合法)

Adopt  $n_{\text{order}}$  order polynomial to  $n_s$  sample points among the given  $n$  sample points, determined by LSQ

データに  $n_{\text{order}}$  次多項式を最小自乗法で求め、標本点の値を内挿する



**Simple moving average: 5, 11, 31 points**



# Weights of polynomial fit (Savitzky-Golay method)

## 多項式適合法 (Savitzky-Golay法) の重み

南茂夫, 科学計測のための波形データ処理, CQ出版社 (1986)

**Table 5.1 Weights for  
order 2 and 3 polynomial fit**

**Order 1: Simple moving average**  
**Orders 2 and 3 have the same weights**

# of points N	25	23	21	19	17	15	13	11	9	7	5
m=int(N/2)	12	11	10	9	8	7	6	5	4	3	2
-12	-253										
-11	-138	-210									
-10	-33	-105	-171								
-9	62	-10	-76	-136							
-8	147	75	9	-51	-105						
-7	222	150	84	24	-30	-78					
-6	287	215	149	89	35	-13	-55				
-5	342	270	204	144	90	42	0	-36			
-4	387	315	249	189	135	87	45	9	-21		
-3	422	350	284	224	170	122	80	44	14	-10	
-2	447	375	309	249	195	147	105	69	39	15	-3
-1	462	390	324	264	210	162	120	84	54	30	12
0	467	395	329	269	215	167	125	89	59	35	17
1	462	390	324	264	210	162	120	84	54	30	12
2	447	375	309	249	195	147	105	69	39	15	-3
3	422	350	284	224	170	122	80	44	14	-10	
4	387	315	249	189	135	87	45	9	-21		
5	342	270	204	144	90	42	0	-36			
6	287	215	149	89	35	-13	-55				
7	222	150	84	24	-30	-78					
8	147	75	9	-51	-105						
9	62	-10	-76	-136							
10	-33	-105	-171								
11	-138	-210									
12	-253										
Normalization factor	5175	4025	3059	2261	1615	1105	715	429	231	105	35

**Weights for order 2 and 3 using (2m+1) points ((2m+1)点を用いた2,3次多項式適合の重み)**

$$w_{23}(j) = 3m(m+1) - 1 - 5j^2$$

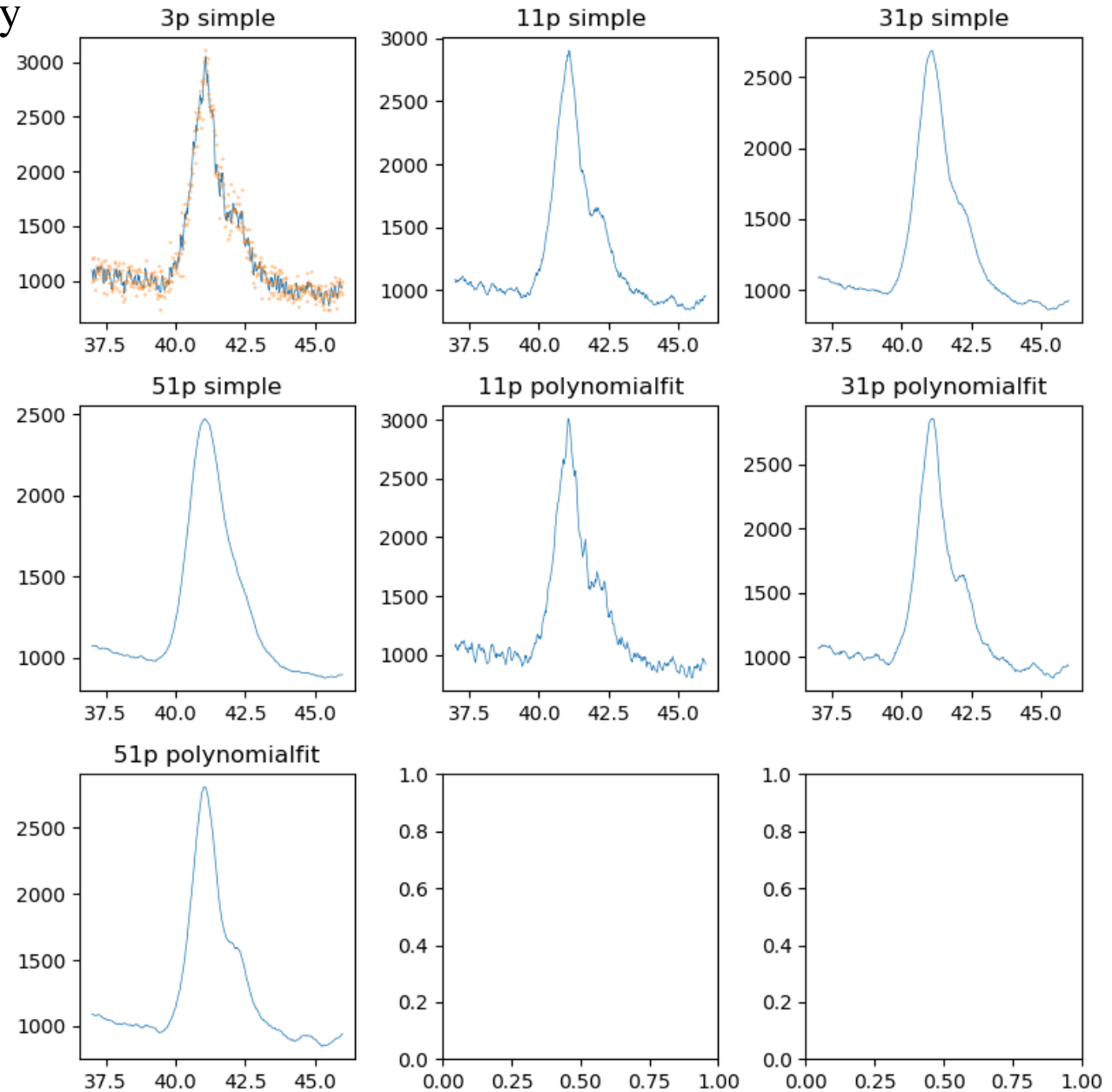
$$j = -m, \dots, -1, 0, 1, \dots, m$$

$$W_{23} = (4m^2 - 1)(2m + 3)/3$$



# Program: smoothing.py

Usage: python smoothing.py



# Fourier transformation (フーリエ変換)

## Different definitions

$$\left\{ \begin{array}{ll} \text{FT (フーリエ変換)} & F(\omega) = \int_{-\infty}^{\infty} f(t) \exp(i\omega t) dt \\ \text{IFT (逆フーリエ変換)} & f(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} F(\omega) \exp(-i\omega t) d\omega \end{array} \right.$$
$$\left\{ \begin{array}{ll} \text{FT} & F(\omega) = \int_{-\infty}^{\infty} f(t) \exp(i2\pi ft) dt \\ \text{IFT} & f(t) = \int_{-\infty}^{\infty} F(\omega) \exp(-i2\pi ft) d\omega \end{array} \right.$$

## Features of Fourier transformation

- Convert time-dependent data to frequency data
- Convert position-dependent data to wavenumber data
- Origin of original data is converted to whole range of FT data
- Whole range of original data is converted to origin of FT data
- **IFT of FTed data recovers the original data**

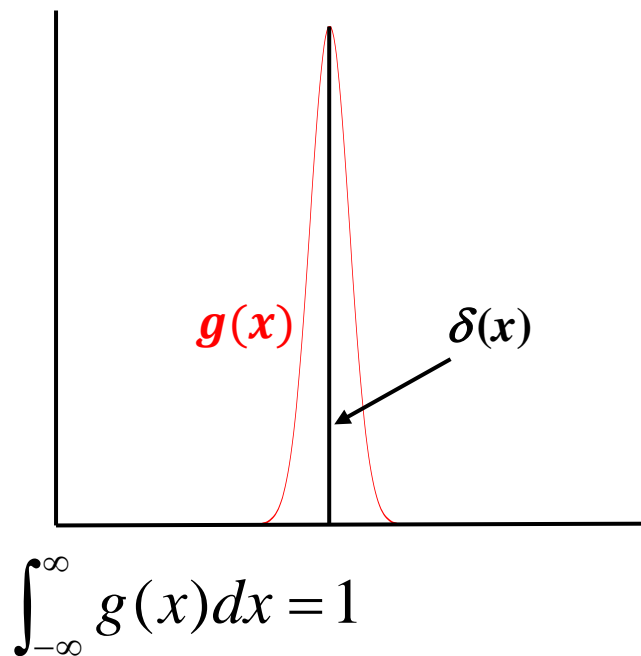
Fourier変換したデータをFourier逆変換すると元のデータに戻る

# Convolution (畳み込み)

$$(f * g)(x) = f^*(x) = \int_{-\infty}^{\infty} f(x')g(x - x')dx'$$

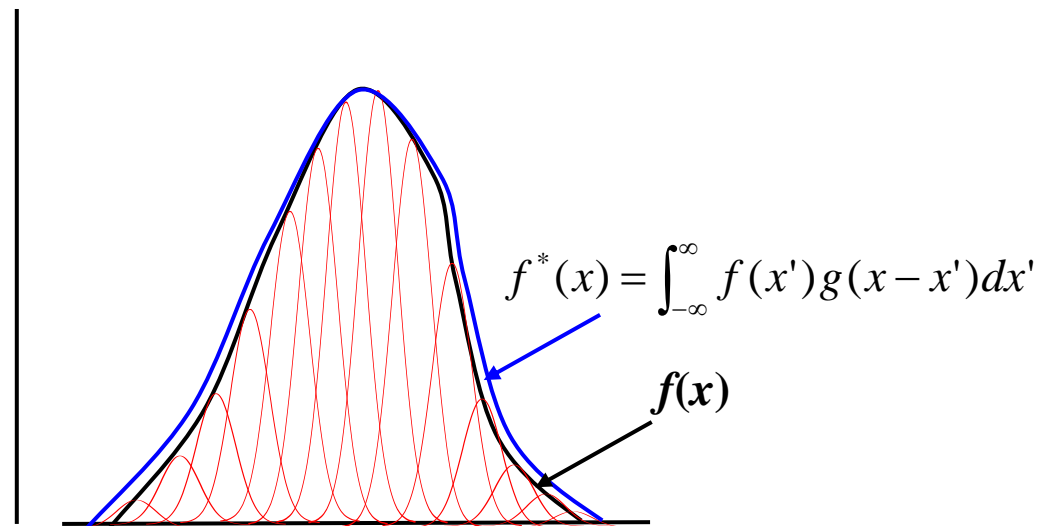
Observed peak has a finite width  
originating **from apparatus function  $g(x)$**   
Even if the intrinsic peak has zero width  
(delta function  $\delta(x)$ )

試料本来のデータは線幅ゼロ ( $\delta$ 関数) でも、  
測定値は**装置関数  $g(x)$**  の広がりを持つ



For a real case a sample has an intrinsic peak  
 $f(x)$ , the observed peak will be **a convolution**  
**of  $f(x)$  and apparatus function  $g(x)$ ,  $f^*(x)$ .**

試料本来のデータは  $f(x)$  でも、測定されるのは  
装置関数  $g(x)$  の畳み込みをした  $f^*(x)$



# Convolution: Matrix representation (行列表示)

南茂夫 編著、科学計測のための波形データ処理、CQ出版 (1986年)

$$f^*(x_i) = \int_{-\infty}^{\infty} f(x')g(x_i - x')dx' = N^{-1} \sum_{j=1}^N f(x_j)g(x_i - x_j)$$

$$\begin{pmatrix} f_1^* \\ f_2^* \\ f_3^* \\ \vdots \\ f_{N-1}^* \\ f_N^* \end{pmatrix} = \begin{pmatrix} g_0 & g_{-1} & \cdots & g_{-(N-3)} & g_{-(N-2)} & g_{-(N-1)} \\ g_1 & g_0 & \cdots & g_{-(N-4)} & g_{-(N-3)} & g_{-(N-2)} \\ g_2 & g_1 & \ddots & \vdots & g_{-(N-4)} & g_{-(N-3)} \\ \vdots & \vdots & \cdots & g_0 & g_{-1} & \vdots \\ g_{N-2} & g_{N-3} & \cdots & g_1 & g_0 & g_{-1} \\ g_{N-1} & g_{N-2} & \cdots & g_2 & g_1 & g_0 \end{pmatrix} \begin{pmatrix} f_1 \\ f_2 \\ f_3 \\ \vdots \\ f_{N-1} \\ f_N \end{pmatrix}$$

$f^*(x)$   
Observed signal

$g(x_i - x_j)$   
Apparatus function

$f(x)$   
Intrinsic signal

**Very often, matrix  $g_{ij}$  is a band matrix with maxima at diagonal**

(行列 $g_{ij}$ は対角要素に最大値を持つ帯行列になることが多い)

# Smoothing by convolution (smearing)

畳み込みによる平滑化 (ぼかし)

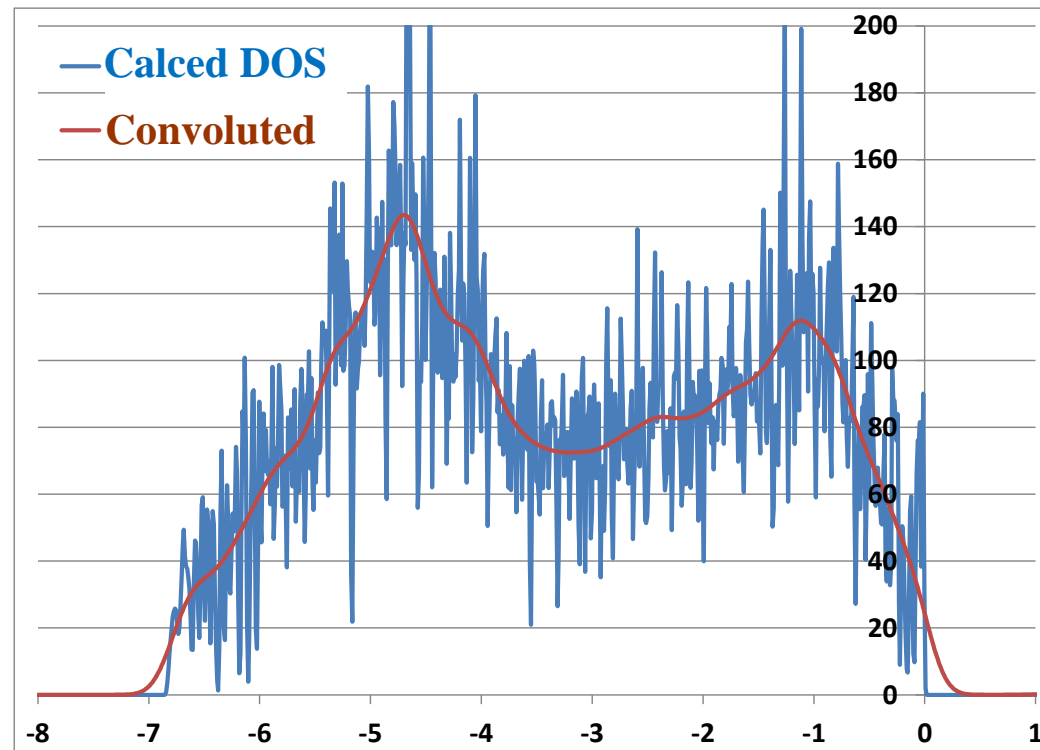
**Density of state (DOS) function calculated by density functional theory**

密度汎関数計算で得たa-InGaZnO<sub>4</sub>の状態密度

**Problem: Many noise, difficult to read**

**Add finite-width Gauss function to each data** (それぞれのデータにGauss関数の広がり)

$$G(E) = \exp(-[(E - E_0)/w]^2) \quad (w = 0.2 \text{ eV})$$



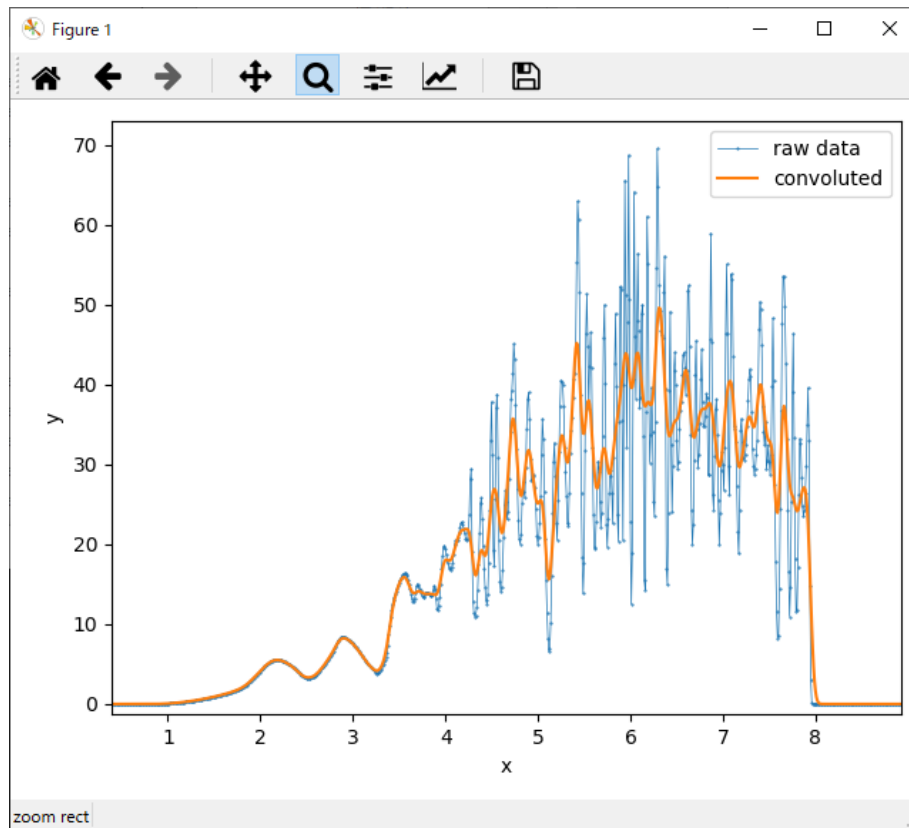
**Note: Estimation of band, edge energies will have the errors originating from the smearing width  $w$**

# Program: convolution.py

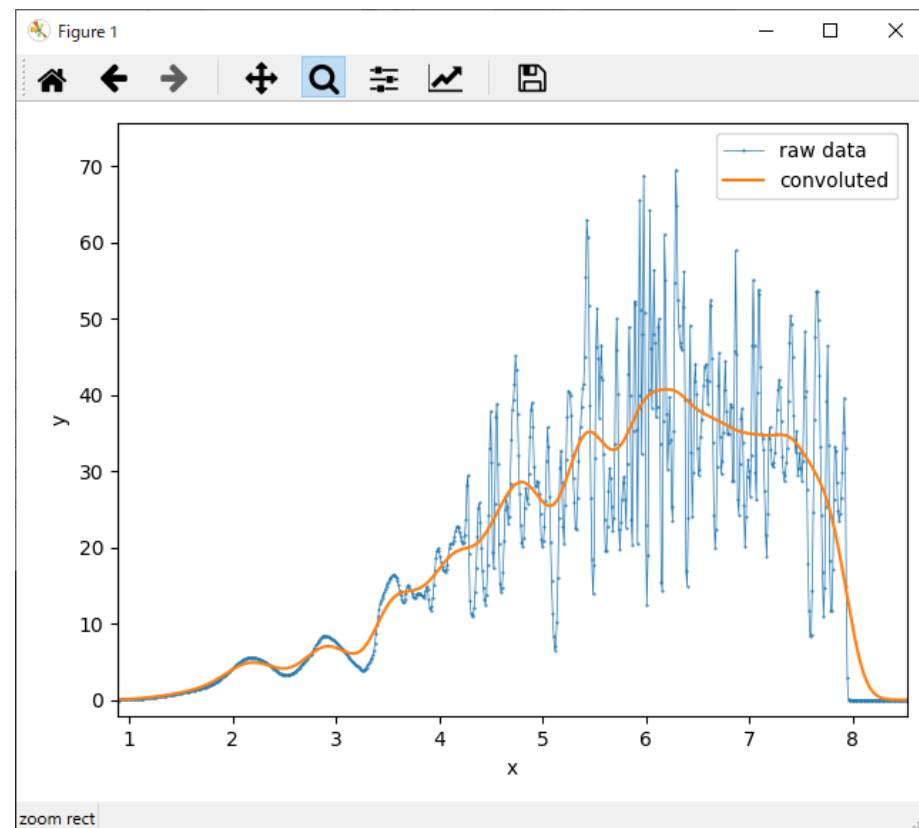
Usage: python convolution.py width

width: width of Gaussian function to convolute

python convolution.py 0.05



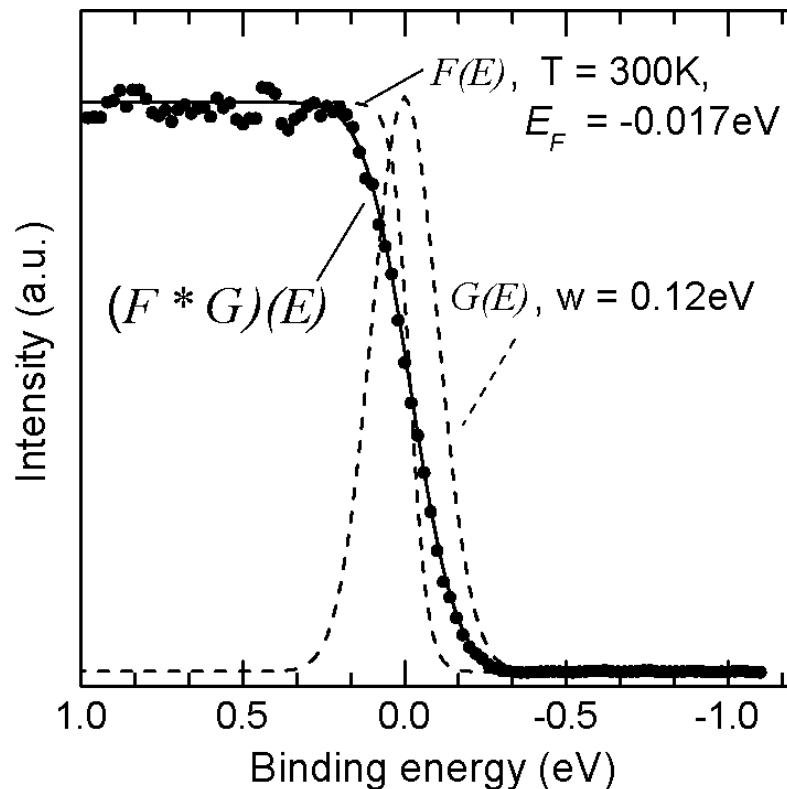
python convolution.py 0.2



# Convolution (畳み込み)

$$(f * g)(x) = f^*(x) = \int_{-\infty}^{\infty} f(x')g(x - x')dx'$$

## Example: UPS spectrum of Au Fermi edge



Intrinsic sample spectrum

$$S(E)$$

Apparatus function

$$G(E) = G_0 \exp(-[(E - E_0)/aw]^2)$$

Fermi-Dirac distribution

$$f(E) = 1/(1 + \exp[(E - E_F)/k_B T]) \quad \text{eq. (1)}$$

Observed spectrum

$$I(x) = \int_{-\infty}^{\infty} S(E')G(E - E')f(E - E')dE'$$

Assuming constant  $S(E)$  for Au reference,  
 $G(E)$  is determined by fitting eq. (1) to  $I(x)$

$$w = 0.12 \text{ eV}$$

**$S(E)$  for different sample is obtained by deconvolution using the  $G(E)$  obtained by the reference spectrum**

$G(E)$ がわかると、他の実測スペクトルから 逆畳み込みで $S(E)$ がわかる

# Filter and convolution

Convolution:  
Matrix product of  
data vector and filter

$$\left. \frac{dy}{dx} \right|_2 = \frac{1}{2h} \begin{pmatrix} -1 & 0 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}$$

**Filter**

$$\left. \frac{d^2y}{dx^2} \right|_2 = \frac{1}{2h^2} \begin{pmatrix} 1 & -2 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}$$

$$\left. \frac{dy}{dx} \right|_0 \sim \frac{y_1 - y_{-1}}{2h}$$

$$\left. \frac{d^2y}{dx^2} \right|_0 \sim \frac{y_1 - 2y_0 + y_{-1}}{h^2}$$

$$y_{2,s} \sim \frac{y_1 + y_2 + y_3}{3}$$

$$y_{2,s} = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}$$

$$y_{2,s} = \frac{1}{3} \begin{pmatrix} 0 & 2 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}$$

$$y_{2,s} = \frac{1}{4} \begin{pmatrix} 1 & 2 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}$$

$$y_{3,s} = \frac{1}{35} \begin{pmatrix} -3 & 12 & 17 & 12 & -3 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \\ y_5 \end{pmatrix}$$

First differential

Second differential

Simple moving average (3 points)

Weighted moving average (3p one-side triangle)

Weighted moving average (3p double-side triangle)

Polynomial fit smoothing ( $2m+1$  points)

Differentiation, smoothing, convolution may be performed with  
the same convolution program by adopting appropriate filters.

微分、平滑化、コンボリューションは、 フィルターを変えるだけで 同じコンボリューションプログラムを流用できる



# Weights for various smoothing

Weighted moving average (2m+1 points)

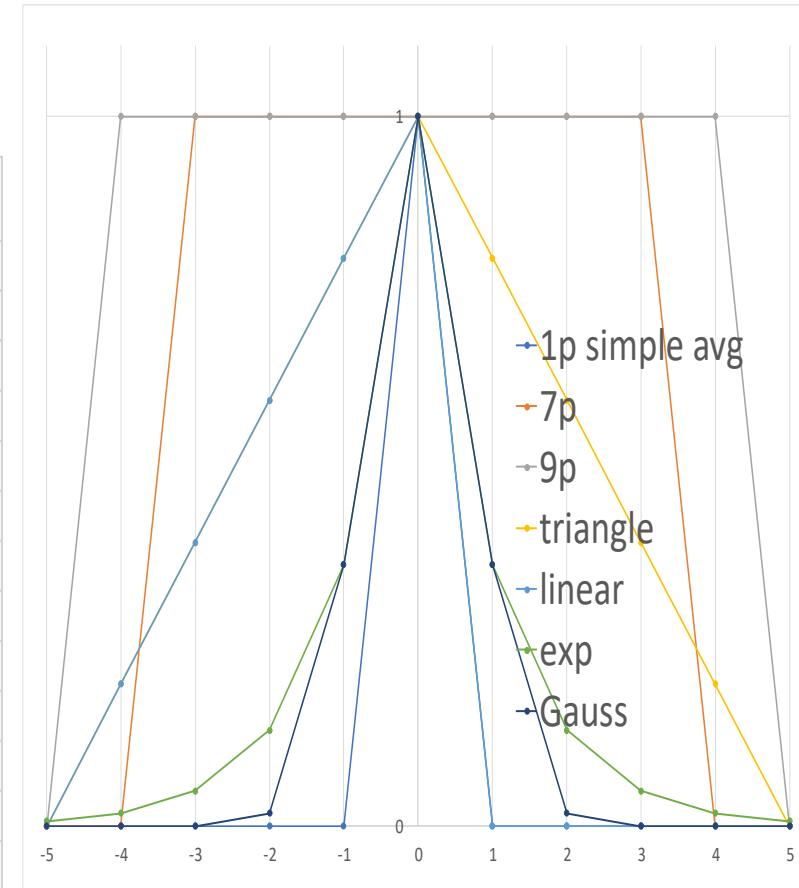
$$y_{i,smoothed} = \frac{1}{\sum_{j=i-m}^{i+m} w_j} \sum_{j=i-m}^{i+m} w_j y_j$$

e.g., one-side triangle:  $(w_{-1}, w_0, w_1) = \frac{1}{3}(1, 2, 0)$

triangle :  $(w_{-1}, w_0, w_1) = \frac{1}{4}(1, 2, 1)$

Gaussian :  $w_i = \frac{1}{\sum e^{(ai)^2}} e^{(ai)^2}$

i-i0	1p simple avg	7p	9p	triangle	linear	exp	Gauss	3p order 3 polynomial	5p	7p
-5	0	0	0	0	0	0.006738	1.39E-11	0	0	0
-4	0	0	1	0.2	0.2	0.018316	1.13E-07	0	0	0
-3	0	1	1	0.4	0.4	0.049787	0.000123	0	0	-10
-2	0	1	1	0.6	0.6	0.135335	0.018316	0	-3	15
-1	0	1	1	0.8	0.8	0.367879	0.367879	0	12	30
0	1	1	1	1	1	1	1	5	17	35
1	0	1	1	0.8	0	0.367879	0.367879	0	12	30
2	0	1	1	0.6	0	0.135335	0.018316	0	-3	15
3	0	1	1	0.4	0	0.049787	0.000123	0	0	-10
4	0	0	1	0.2	0	0.018316	1.13E-07	0	0	0
5	0	0	0	0	0	0.006738	1.39E-11	0	0	0
W	1	7	9	5	3	2.15611	1.77264	5	35	105
							m=	1	2	3



Equivalent to convolution  
using these functions

# Weights of polynomial smoothing

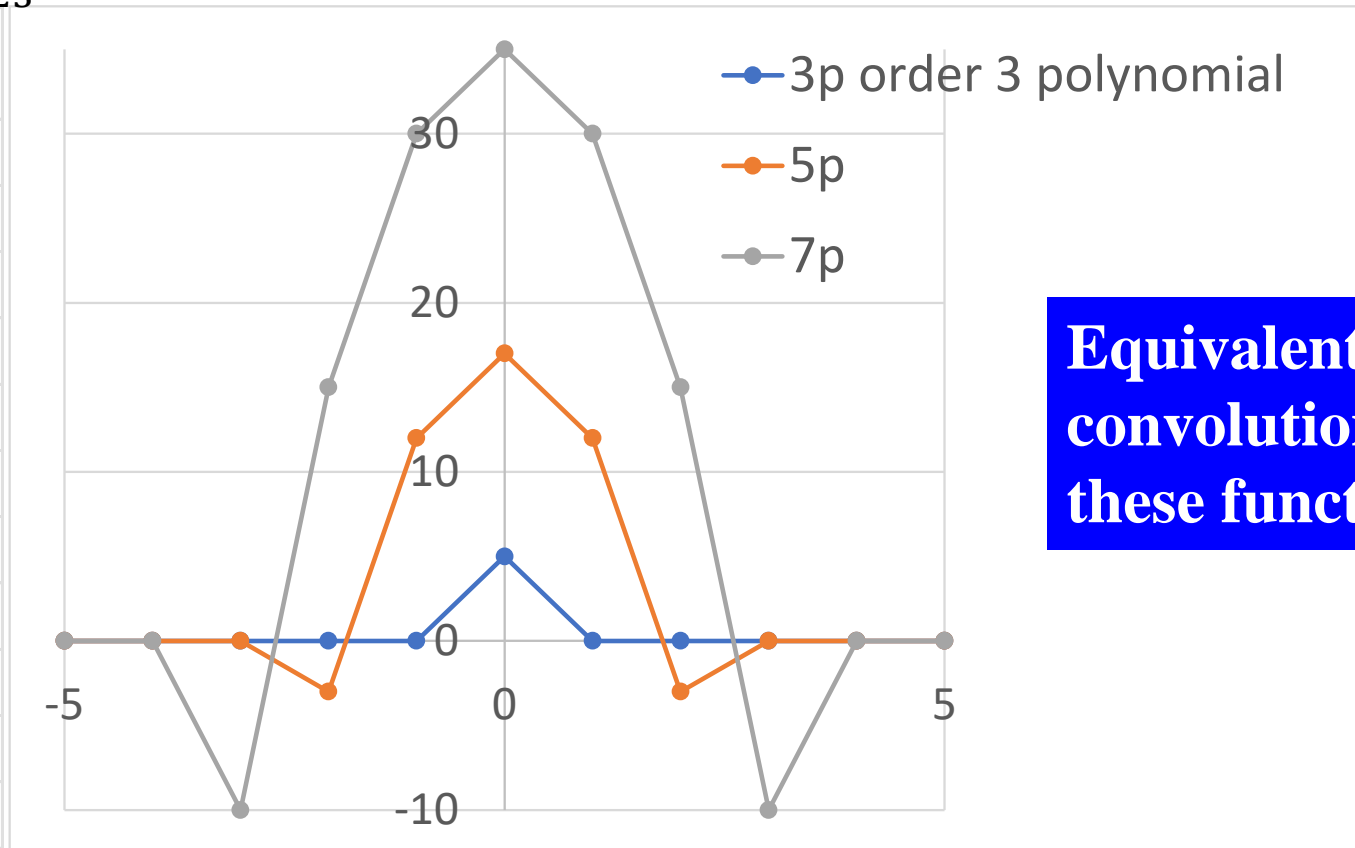
## Order 2 and 3 polynomial fit using $(2m+1)$ points

$$w_{23}(j) = 3m(m+1) - 1 - 5j^2 \quad j = -m, \dots, -1, 0, 1, \dots, m$$

$$W_{23} = (4m^2 - 1)(2m + 3)/3$$

$$y_{i,smoothed} = \frac{1}{W_{23}} \sum_{j=i-m}^{i+m} w_{23}(j) y_j$$

i-i0	3p order 3 polynomial	5p	7p
-5	0	0	0
-4	0	0	0
-3	0	0	-10
-2	0	-3	15
-1	0	12	30
0	5	17	35
1	0	12	30
2	0	-3	15
3	0	0	-10
4	0	0	0
5	0	0	0
<b>W</b>	<b>5</b>	<b>35</b>	<b>105</b>
	1	2	3



**Equivalent to  
convolution using  
these functions**

# Smoothing by python library

## Smoothing.py

Simple moving average

# make a constant filter with weight of  $1/N$

`w = np.ones(nsmooth) / nsmooth`

# convolution

`ys = np.convolve(y, w, mode = 'same')`

Polynomial smoothing: **Savitzky-Golay filter**

`ys = scipy.signal.savgol_filter(y, nsmooth, norder, deriv = 0)`

nsmooth: number of smoothing points

norder : order of polynomial

deriv : order of differentiation

**注意:** savgol\_filter() takes differentiation to specify  $\text{deriv} = 1$ ,  
but its absolute values are different from the first differentials  
because savgol\_filter() does not know x-axis values.

Divide the results by  $h^{\text{deriv}}$  after smoothing if you need absolute values

*Note: check the final results by yourself*

# Multi-dimensional filter, convolution, image processing

$$\text{filter: } \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix} \quad y'_{22} = \sum_{i,j=1,2,3} a_{ij} y_{ij}$$

e.g., the convolution of  $y_{22}$  is given by a sum of each product of

$$\text{data } \begin{pmatrix} y_{11} & y_{12} & y_{13} \\ y_{21} & y_{22} & y_{23} \\ y_{31} & y_{32} & y_{33} \end{pmatrix} \text{ and } \text{filter } \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$$

**NOTE: different from convolution in mathematics**

**X differential filter (edge detection)**

$$\frac{1}{2h} \begin{pmatrix} 0 & 0 & 0 \\ -1 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

**Y differential filter (edge detection)**

$$\frac{1}{2h} \begin{pmatrix} 0 & -1 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

**Diagonal differential filter (edge detection)**

$$\frac{1}{2h} \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix}$$

**Smoothing filter (smearing/blur)**

⇔ sharpening can be done by deconvolution

$$\frac{1}{9} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

**Convolved Neural Network (畳み込みニューラルネットワーク)**

Insert a convolution layer in multilayer neural network to learn the elements of the filter

We may know how the filter works by analyzing the values of the filter elements

# Deconvolution (逆畳み込み)

$$(f * g)(x) = f^*(x) = \int_{-\infty}^{\infty} f(x')g(x-x')dx'$$

**Fourier transformation (FT)**

$$F^*(k) = \int_{-\infty}^{\infty} f^*(x) \exp(ikx)dx$$

**Inverse Fourier transformation (IFT)**

$$f(x) = \int_{-\infty}^{\infty} F(k) \exp(-ikx)dk$$

$$g(x) = \int_{-\infty}^{\infty} G(k') \exp(-ik'x)dk'$$

$$\begin{aligned} F^*(k) &= \int_{-\infty}^{\infty} f(x')g(x-x') \exp(ikx)dx dx' \\ &= \int_{-\infty}^{\infty} f(x') \left( \int g(x-x') \exp(ikx)dx \right) dx' \\ &= \int_{-\infty}^{\infty} f(x') \left( \int g(x) \exp(ik(x+x'))dx \right) dx' \\ &= \int_{-\infty}^{\infty} f(x')G(k) \exp(ikx')dx' \\ &= F(k)G(k) \end{aligned}$$

**$f(x)$  can be obtained by IFT of  $F(k) = F^*(k) / G(k)$ , but usually is vulnerable against small perturbations like noise**

$F(k) = F^*(k) / G(k)$ を計算して逆フーリエ変換で $f(x)$ が得られる

=> ノイズなどがあると不安定で解が発散しやすい

# Convolution: Matrix representation (行列表示)

南茂夫 編著、科学計測のための波形データ処理、CQ出版 (1986年)

$$f^*(x_i) = \int_{-\infty}^{\infty} f(x')g(x_i - x')dx' = N^{-1} \sum_{j=1}^N f(x_j)g(x_i - x_j)$$

$$\begin{pmatrix} f_1^* \\ f_2^* \\ f_3^* \\ \vdots \\ f_{N-1}^* \\ f_N^* \end{pmatrix} = \begin{pmatrix} g_0 & g_{-1} & \cdots & g_{-(N-3)} & g_{-(N-2)} & g_{-(N-1)} \\ g_1 & g_0 & \cdots & g_{-(N-4)} & g_{-(N-3)} & g_{-(N-2)} \\ g_2 & g_1 & \ddots & \vdots & g_{-(N-4)} & g_{-(N-3)} \\ \vdots & \vdots & \cdots & g_0 & g_{-1} & \vdots \\ g_{N-2} & g_{N-3} & \cdots & g_1 & g_0 & g_{-1} \\ g_{N-1} & g_{N-2} & \cdots & g_2 & g_1 & g_0 \end{pmatrix} \begin{pmatrix} f_1 \\ f_2 \\ f_3 \\ \vdots \\ f_{N-1} \\ f_N \end{pmatrix}$$

$f^*(x)$   
Observed signal

$g(x_i - x_j)$   
Apparatus function

$f(x)$   
Intrinsic signal

**Very often, matrix  $g_{ij}$  is band matrix with maxima at diagonal**

(行列 $g_{ij}$ は対角要素に最大値を持つ帯行列になることが多い)

# Deconvolution (逆畳み込み)

南茂夫 編著、科学計測のための波形データ処理、CQ出版 (1986年)

$$f^*(x_i) = N^{-1} \sum_{j=1}^N f(x_j) g(x_i - x_j)$$

**Deconvolution is carried out by solving the linear simultaneous equations,**

$$\begin{pmatrix} f_1^* \\ f_2^* \\ \vdots \\ f_N^* \end{pmatrix} = \begin{pmatrix} g_0 & g_{-1} & & g_{-(N-1)} \\ g_1 & g_0 & & \\ \vdots & & \ddots & \vdots \\ g_{N-1} & & \cdots & g_0 \end{pmatrix} \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_N \end{pmatrix}$$

**However, similar to the FFT method, usually vulnerable against noise**

(フーリエ変換法と同様、ノイズなどがあると不安定で解が発散しやすい)

**Better way:**

- 1. Remove noise effects (smoothing etc) before deconvolution**
- 2. Use an iterative method (e.g., Jacobi method and Gauss-Seidel method) to solve the simultaneous equation, where noise-compensation process is included during the iteration process.**

# Jacobi / Gauss-Seidel method

Solve large-size simultaneous linear equations:

$$\begin{pmatrix} a_{11} & a_{12} & & a_{1N} \\ a_{21} & a_{22} & & \\ \vdots & & \ddots & \vdots \\ a_{N1} & & \cdots & a_{NN} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_N \end{pmatrix} = \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_N \end{pmatrix}$$

**For  $(k+1)$ -th iteration,  $x_i^{(k+1)}$  is estimated from  $x_i^{(k)}$**

(initial data may be chosen as  $x_i^{(0)} = b_i$ , uniform value  $x_i^{(0)} = 1$ , etc):

**(i) Jacobi method:  $x_i^{(k+1)} = (b_i - \sum_{j \neq i}^N a_{ij} x_j^{(k)}) / a_{ii}$**

$$x_1^{(k+1)} = (b_1 - a_{12}x_2^{(k)} - a_{13}x_3^{(k)} - \cdots - a_{1N}x_N^{(k)}) / a_{11}$$

$$x_2^{(k+1)} = (b_2 - a_{21}x_1^{(k)} - a_{23}x_3^{(k)} - \cdots - a_{2N}x_N^{(k)}) / a_{22}$$

**(ii) Gauss-Seidel method:  $x_i^{(k+1)} = (b_i - \sum_{j=1}^{i-1} a_{ij} x_j^{(k+1)} - \sum_{j=i+1}^N a_{ij} x_j^{(k)}) / a_{ii}$**

Using the known  $x_j^{(k+1)}$  values enhances convergence.

$$x_1^{(k+1)} = (b_1 - a_{12}x_2^{(k)} - a_{13}x_3^{(k)} - \cdots - a_{1N}x_N^{(k)}) / a_{11}$$

$$x_2^{(k+1)} = (b_2 - a_{21}x_1^{(k+1)} - a_{23}x_3^{(k)} - \cdots - a_{2N}x_N^{(k)}) / a_{22}$$

Convergence is better for the Gauss-Seidel method,  
While parallelization is more easy for the Jacobi method.



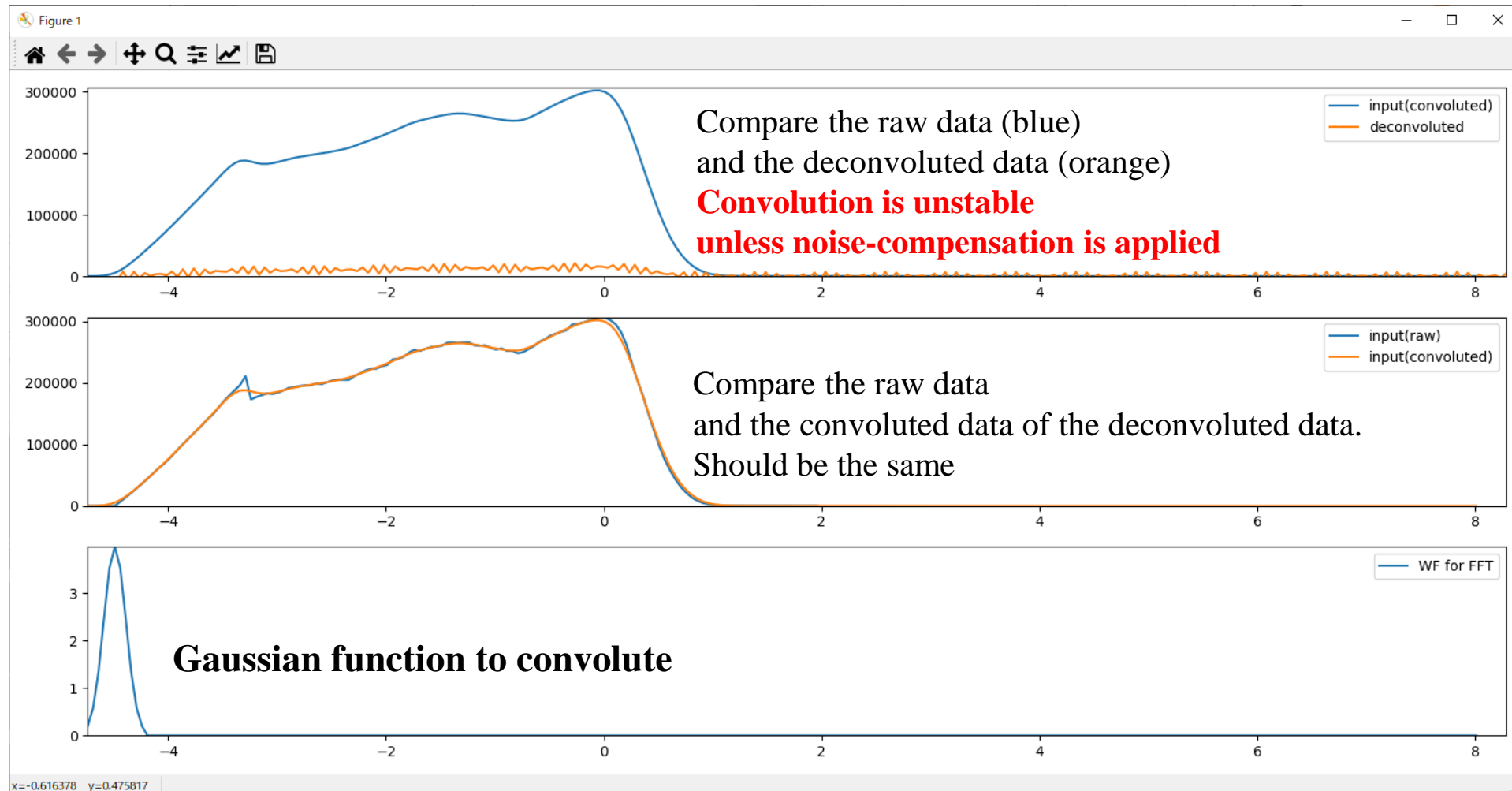
# Program: deconvolution.py (mode=fft)

Usage: python deconvolution.py file mode convmode smoothmode xmin xmax Wa Grange kzero klin

see usage of the program output

python deconvolution.py pes.csv fft full convolve+extend -4.5 2.0 0.12 2.0 5 5

Use **FFT** and **iFFT** without smoothing



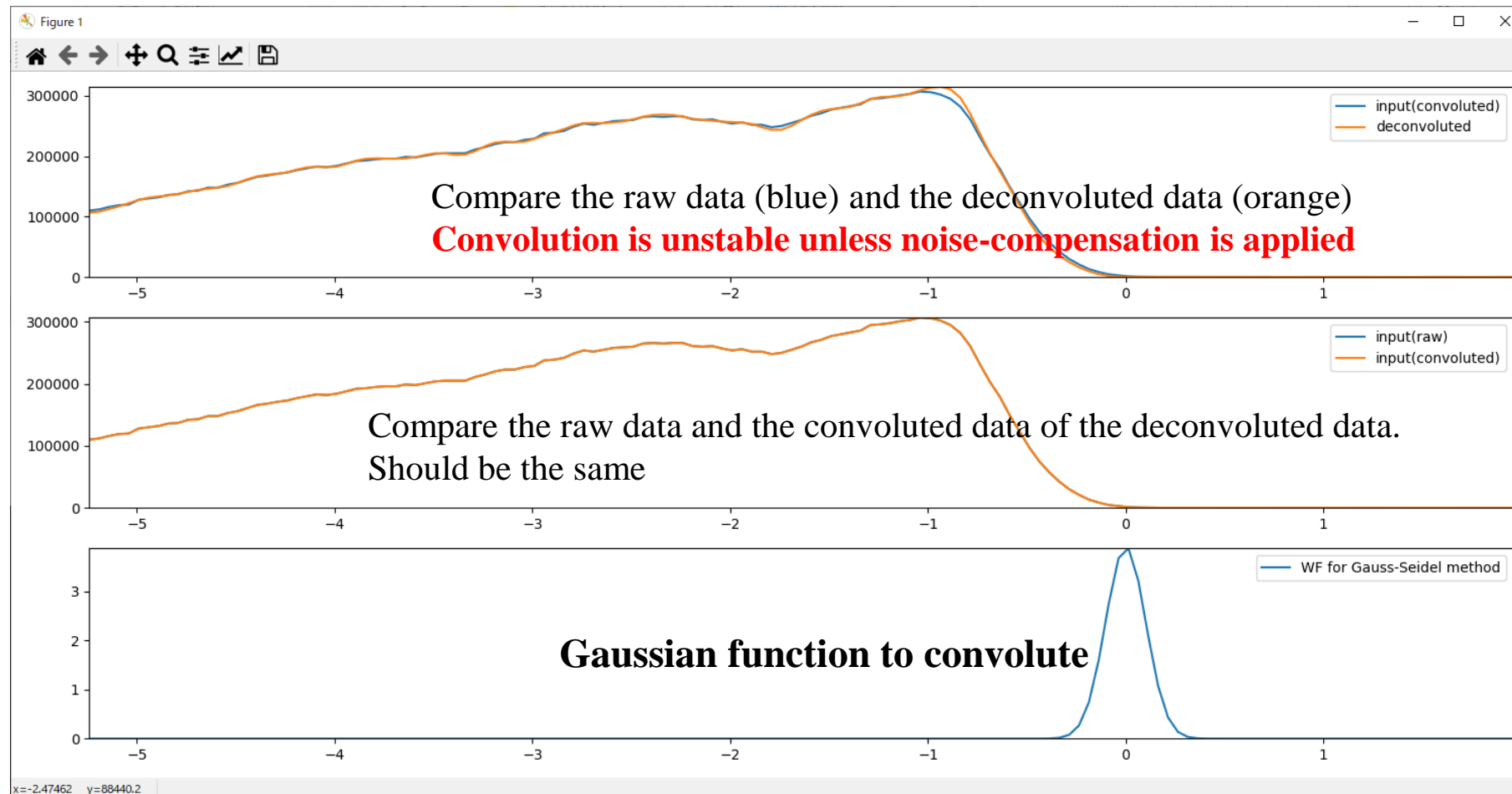
# Program: Gauss-Seidel method with smoothing

Usage: `python deconvolution.py file mode xmin xmax Wa dump nmaxiter eps nsMOOTH zeroC`  
see usage of the program output

`python deconvolution.py pes.csv gs -6.0 2.0 0.12 1.0 300 1.0e-4 5 0`

Use **Gauss-Seidel (gs) method** with the width of the Gaussian function of 0.12 eV.

**5-point polynomial-fit average** is applied for each iteration.



# Deconvolution: Gauss-Seidel method w/o smooting

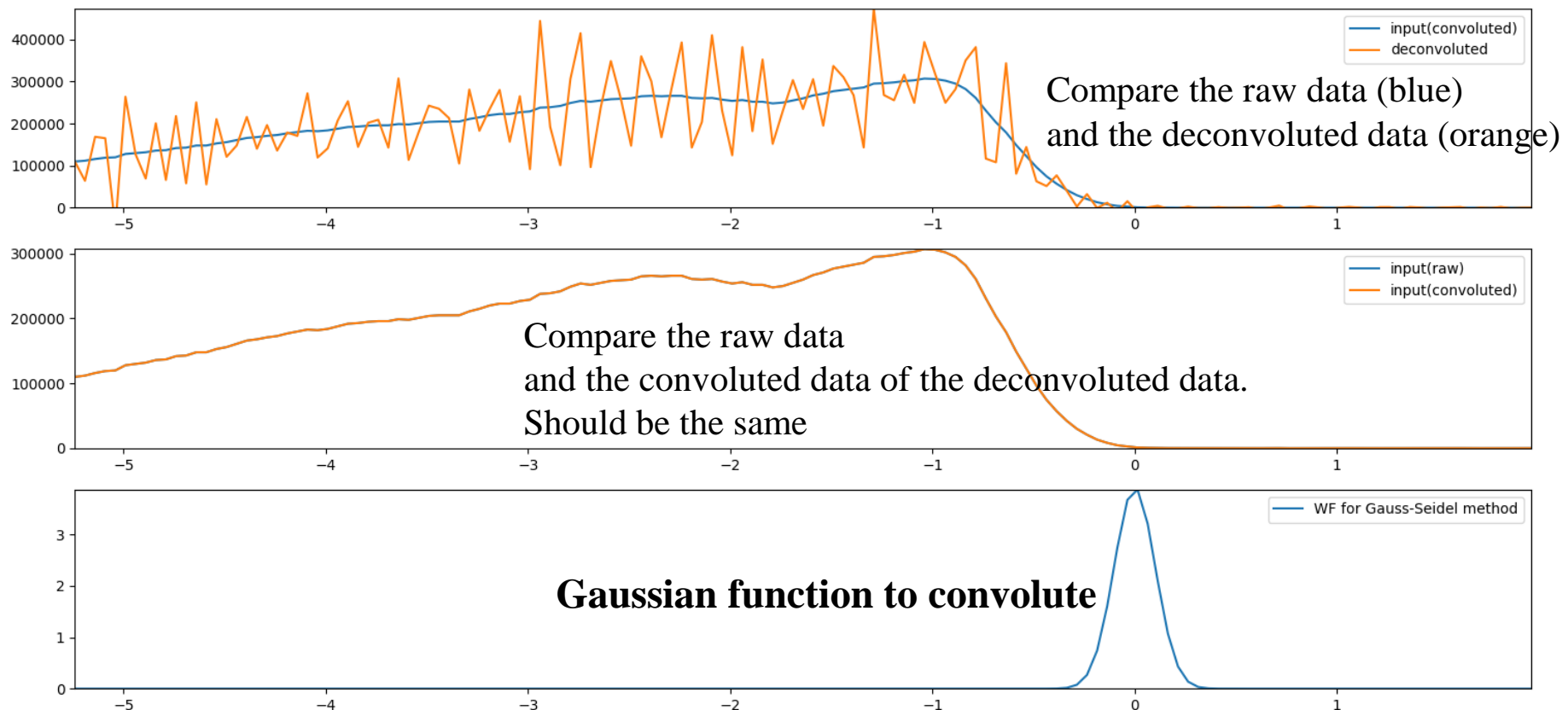
Usage: `python deconvolution.py file mode xmin xmax Wa dump nmax iter eps nsmooth zero c`

see usage of the program output

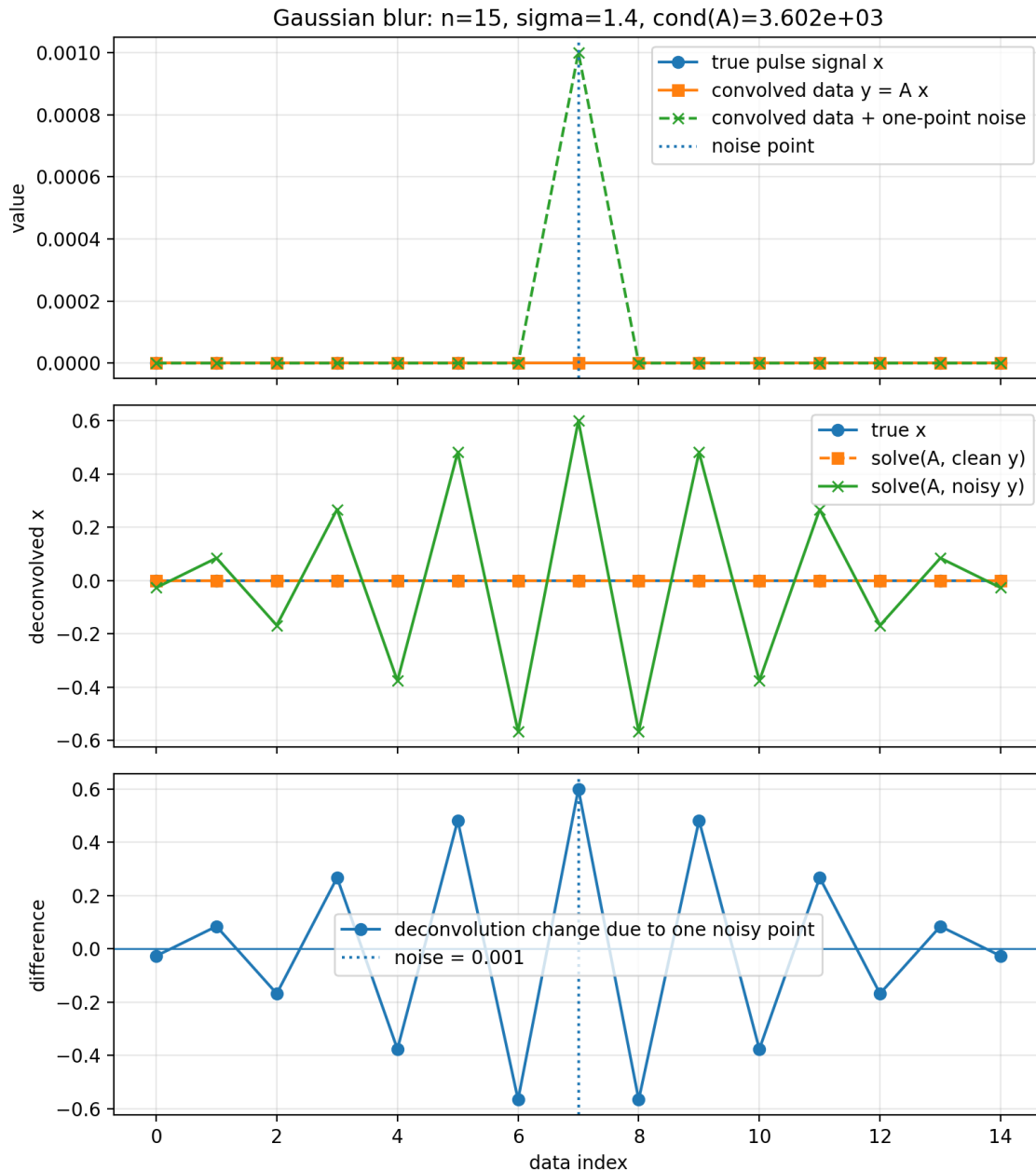
`python deconvolution.py pes.csv gs -6.0 2.0 0.12 1.0 300 1.0e-4 1 0`

Use **Gauss-Seidel (gs) method** with the width of the Gaussian function of 0.12 eV.

**No smoothing** is applied for each iteration.

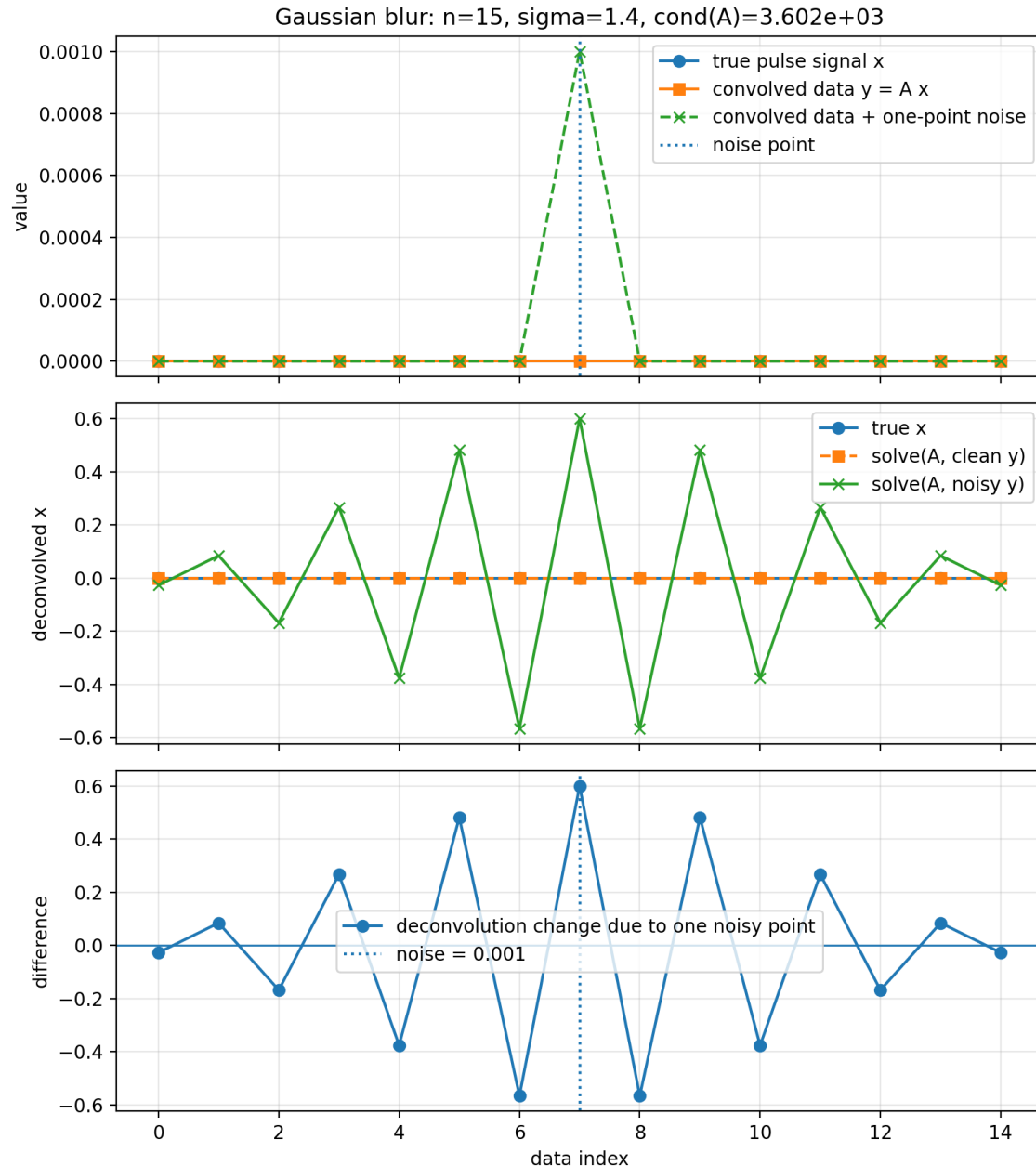


# Why is deconvolution sensitive to noise?: deconv\_noise\_demo.py



- If no true signal exists, the ideal measured data should be zero everywhere.
- However, if even a small error is introduced at one point in the measured data, direct deconvolution tries to explain that error as part of the true spectral structure.
- In this situation, the direct method cannot treat the error at only that single point.
- In convolution with a finite width, each measured point is produced from signals at multiple neighboring points. Therefore, neighboring points are coupled in the system of linear equations.
- As a result, a correction at one point also affects the equation for the neighboring point, and the effect propagates successively to the next neighboring points.
- Consequently, false structures that oscillate between positive and negative values spread throughout the reconstructed spectrum.

# なぜデコンボリューションはノイズに弱いのか: deconv\_noise\_demo.py



- 真の信号が存在しない場合、理想的な測定データはすべてゼロになる。
- しかし、測定データに誤差が入ると、直接解法によるデコンボリューションは、その誤差を真のスペクトル構造として説明しようとする。
- このとき、直接解法は一点の誤差をその点だけで処理できない。
- 幅を持つ畳み込みでは、各測定点が周囲の複数点の信号から作られるため、隣接点同士が連立方程式の中で結合している。
- そのため、ある点の補正は隣の点の方程式にも影響し、さらにその隣へと連鎖的に伝搬する。
- その結果、復元スペクトルには正負に振動する偽の構造が広がる。